# THE INFLUENCE OF SURFACE FINISH ON BAL<sup>TM</sup> SEAL PERFORMANCE

Technical Report TR-4A (Rev. H; 10-25-01)



19650 Pauling Foothill Ranch, CA 92610-2610 Tel (949) 460-2100 Fax (949) 460-2300 Email: sales@balseal.com www.balseal.com Spinozastraat 1 1018 HD Amsterdam The Netherlands Tel +31 20 638 6523 Fax +31 20 625 6018 Email: sales@balseal.nl www.balseal.nl



## TABLE OF CONTENTS

- 1.0 Introduction
- 2.0 Surface finish
  - 2.1 Influence of surface finish on friction
  - 2.2 Influence of surface finish on seal wear
- 3.0 Surface finish and seal wear
  - 3.1 Abrasive wear
  - 3.2 Adhesive wear
- 4.0 Surface finish and lubrication
- 5.0 Surface finish and sealing ability
- 6.0 Summary
- 7.0 References

The information, descriptions, recommendations and opinions set forth herein are offered solely for your consideration, inquiry, and verification and are not, in part or in whole, to be construed as constituting a warranty, expressed or implied, nor shall they form or be a part of the basis of any bargain with Bal Seal Engineering. If any sample or model was shown to or provided by Buyer/User, such sample or model was used merely to illustrate the general description and type of goods. Such use is not to be construed as a warranty that the goods will conform to the sample or model. Furthermore, THE IMPLIED WARRANTY OF MERCHANTABILITY AND FITNESS FOR A PARTICULAR PURPOSE AND ALL OTHER WARRANTIES, IMPLIED OR EXPRESSED, ARE EXCLUDED AND SHALL NOT APPLY. This document provides product options for further investigation by Buyers/Users having technical expertise. The Buyer/User, through its own analysis and testing, is solely responsible for making the final selection of the products and for assuming that all performance, safety and warning requirements for the application are met. It is recommended that Buyers/Users run evaluation testing under actual service conditions to determine whether proposed Bal Seal products are suitable for the intended purpose. Nothing contained herein or in any of our literature shall be considered a license or recommendation for any use that may infringe patent rights. (LE-17)

PATENTS: The items described in this report include products that are the subject of the following issued United States patents: 5,979,904; 5,994,856; 6,050,572; 5,984,316; 6,161,838 and others, as well as foreign patents or products where patents are pending. (LE-88G) ©Copyright 2001, Bal Seal Engineering Co., Inc. U.S.A.



### 1.0 INTRODUCTION

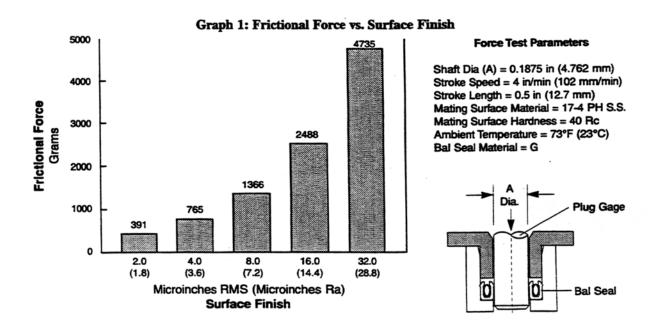
Many factors will affect the performance of a seal. Some of the more commonly discussed factors are temperature, pressure and speed. The performance of a spring-energized BAL<sup>TM</sup> Seal is also governed to a great extent by finish of the mating surface over which the seal must slide. The finish of the mating surface has a significant effect on friction, seal wear, lubrication and sealing ability.

#### 2.0 SURFACE FINISH

The performance of spring-energized PTFE BAL<sup>TM</sup> Seals is governed to a great extent by the finish of the mating surface over which the seal slides. The surface finish has a significant effect on friction, wear and sealing ability. Detailed reports on surface finish include: TR-4, "The Influence of Surface Finish on BAL Seal Performance; "TR-29, "Methods of Obtaining Surface Finishes; and TR-51, "Measuring Surface Finishes."

#### 2.1 Influence of Surface Finish on Friction

In general, PTFE seal wear is proportional to frictional force: lower friction results in reduced wear. Test results indicate that improving surface finish may reduce friction. See Graph 1.



Microinches RMS (Microinches Ra)
Counter Face Surface Finish

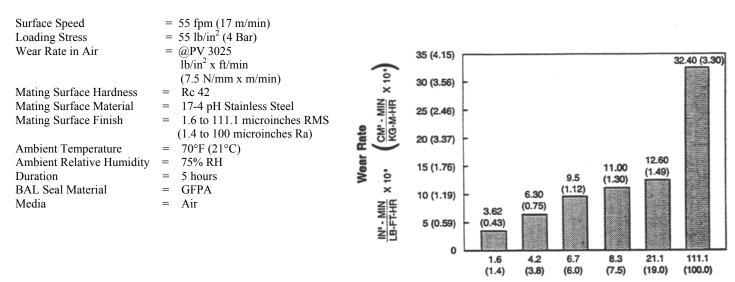


## 2.2 Influence of Surface Finish on Seal Wear

The finish of the mating surface influences the abrasive wear to which the seal is subjected. Abrasive wear occurs when a rough, hard surface slides over a softer surface. Wear occurs in the form of plastic chips cut from the surface of the seal. Test results indicate that smoother surfaces produce lower wear rates by reducing abrasive wear. See Graph 2.

**Graph 2: Wear Rate of BAL Seal GFPA Material vs. Various Surface Finishes** 

#### Wear Test Parameters



### 3.0 SURFACE FINISH AND SEAL WEAR

Abrasion and adhesion are the two major causes of seal wear.

#### 3.1 Abrasive Wear

The finish of the mating surface influences the degree of abrasive wear to which the seal is subjected. Abrasive wear occurs when a rough, hard surface slides over a softer surface and plows a series of grooves in it. Wear occurs in the form of plastic chips cut from the surface of the seal.

A series of tests have been conducted to determine how the surface finish influences the wear rate of BAL Seal GFPA material. Tests were administered using a thrust water test fixture, see Figure 1.



#### **WEAR TEST PARAMETERS**

Surface Speed = 55 ft/min (17 m/min)

Mating Surface Hardness = Rc 43

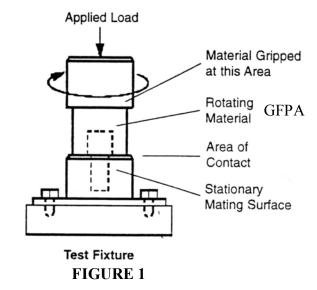
Mating Surface Finish = 1.4 to 100 microinches Ra

Ambient Temperatures = 70°F (22°C)

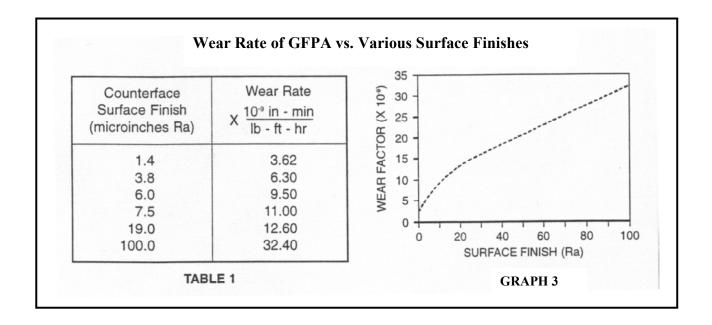
Loading Stress = 55 lb/in² (4 kg/cm²)

Duration = 5 hours plus 90 minutes

Non-Lubricated in Air



Results are shown in Table 1 and wear rates are plotted against surface finish values in Graph 3. The results indicate that smoother surfaces produce lower wear rates by reducing abrasive seal wear.



#### 3.2 Adhesive Wear

When two surfaces slide over each other, patches of one surface adhere to the other and may be removed in the form of loose wear particles. This adhesive wear occurs because of the strong bonds formed between atoms that come into intimate contact with one another.

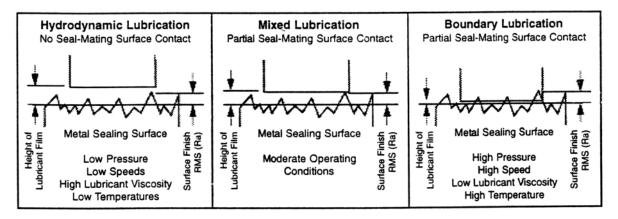


A smooth mating surface will tend to reduce abrasive seal wear but will increase adhesive wear. This is because a smooth mating surface will increase the area of contact between the seal and sealing surface. This results in greater intimate contact between the sealing surfaces and strong adhesive bonds.

Fortunately, it is relatively simple to reduce adhesive seal wear by using a lubricant. The lubricant forms a protective layer between the sealing surfaces, which prevents the adhesive junctions from forming.

## 4.0 SURFACE FINISH AND LUBRICATION

The friction and wear behavior of a BAL Seal in a lubricated environment is dependent upon the extent of the lubricating film separating the seal from the sealing surface. One of three types of lubricating conditions may be present in any application. See Figure 2.



**Lubricating Conditions FIGURE 2** 

The surface finish should vary depending on the type of lubricating conditions present. When the lubricating film is thick, as it is during hydrodynamic lubrication, the finish of the mating surface can be rougher because the seal does not come into contact with the mating surface. When the film is thin, as it is during boundary lubrication, the finish of the mating surface should be smoother due to the greater area of contact between the seal and the mating surface.

#### 5.0 SURFACE FINISH AND SEALING ABILITY

Although many factors affect leakage, the sealing ability of a BAL Seal is generally proportional to the viscosity of the sealed medium. Media with reduced viscosity (i.e., gases vs. liquids) are more difficult to seal. This can be compensated for to some degree by improving the finish of the mating surface. A smoother finish creates greater sealing contact between the seal and mating surface, thus improving sealing ability. See Table 2.



MEDIUM	DYNAMIC SURFACE		STATIC SURFACE
	Reciprocating	Rotary	
Cryogens	2 to 4 RMS	2 to 4 RMS	4 to 8 RMS
	1.8 to 3.6 Ra	1.8 to 3.6 Ra	3.6 to 7.2 Ra
Gases	6 to 12 RMS	4 to 8 RMS	12 to 32 RMS
(Air, N, O, etc.)	5.4 to 10.8 Ra	3.6 to 7.2 Ra	10.8 to 28.8 Ra
Liquids	8 to 16 RMS	8 to 12 RMS	16 to 32 RMS
(Hydraulic fluid, water, etc.)	7.2 to 14.4 Ra	7.2 to 10.8 Ra	14.4 to 28.8 Ra
1	Suggested Surface F	Finish	

#### 6.0 SUMMARY

BAL Seal performance is influenced to a great degree by the finish of the mating surface in contact with the seal. Dynamic surfaces in contact with BAL Seals should have specified surface finishes. A specified surface finish will help to assure consistent and reliable seal performance. The surface texture standard, ANSI B46.1 – 1978 provides detailed information about the specification of surface finishes. For information about methods used to measure surface finishes, request report TR-51, "Measuring Surface Finishes." For information on how to obtain surface finishes, request report TR-29, "Methods of Obtaining Surface Finishes."

#### 7.0 REFERENCES

BAL Seal Reports	17-20; 25-30; 32-41; 100-17; 59-9; 100-54
TR-54	Factors Which Affect Linear Force Values While Measuring the Friction of BAL Seals
TR-20	Dry Lubricants and Their Effects on BAL Seal Performance
TR-78	Factors Which Influence PTFE BAL Seal Performance